



## FAX COVER SHEET

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DATE:	December 29, 2003	TOTAL # OF PAGES: (INCLUDING THIS COVER SHEET)	55
TO:	Vishal Patel	FAX #:	703.746.3814
FIRM NAME:	Group Art Unit 3676 U.S. Patent and Trademark Office	PHONE #:	703.308.8495
FROM:	KrisAnne Popovits Paralegal/Intellectual Property	FAX #:	612.340.8856
PHONE #:	612.343.7965	EMAIL:	popovits.kris.anne@dorsey.com

## COMMENTS:

Examiner Patel:

Per your request, I have attached a copy of the documents we filed with the U.S. Patent and Trademark Office on September 8, 2003, via First Class U.S. Mail. I have not included the 199 references cited on the Supplemental Information Disclosure Statement. Please let me know if you are able to locate those references. If not, I will send them to you.

Attached please find: Copy of Return Receipt Postcard date stamped received by OIPE "SEP 11 2003"; Copy of documents filed September 8, 2003 via First Class U.S. Mail: Transmittal Letter (2 pages); Request for Continued Examination (RCE) Under 37 CFR 1.114 (2 pages); Amendment (15 pages); Supplemental Information Disclosure Statement Under 37 CFR 1.97(b)(4) (2 pages); Form 1449A/PTO (14 pages, submitted in duplicate); Petition For Extension of Period For Response (1 page); Fee Determination (After Amendment of Claims) (1 page); Check No. 965789; Return Receipt Postcard

First Named Inventor: Michael R. Oldenburg  
Client Name: Transcom, Inc.  
Application No.: 09/855,001  
Filing Date: May 14, 2001  
Application Title: **Retrofittable Severe Duty Seal For A Shaft**  
Attorney/LA Initials: DVP/SWJ/kap  
Faxed: 29 December 2003  
Our Dkt No.: 14036

ORIGINAL WILL BE SENT VIA: ☐ MAIL ☐ E-MAIL ☐ MESSENGER ☐ AIR COURIER ☒ WILL NOT BE SENT

PLEASE CONTACT KRISANNE POPOVITS AT (612) 343-7965 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

REFERENCE # 7421/456248-7

DORSEY & WHITNEY LLP • WWW.DORSEY.COM T 612.340.2800 F 612.340.2868  
SUITE 1500 • 60 SOUTH SIXTH STREET MINNEAPOLIS, MINNESOTA 55402-1408  
USA CANADA EUROPE ASIA

*The mail stamp of the United States Patent and Trademark Office on this return receipt postcard acknowledges the filing on the date stamped of the following:*

Transmittal Letter (2 pages); Request for Continued Examination (RCE) Under 37 CFR 1.114 (2 pages); Amendment (15 pages); Supplemental Information Disclosure Statement Under 37 CFR 1.97(b)(4) (2 pages); Form 1449A/PTO (14 pages, submitted in duplicate); Copies of 199 References Cited; Petition For Extension Of Period For Response (1 page); Fee Determination (After Amendment of Claims) (1 page); Check No. 965789 in the amount of \$589; Return Receipt Postcard

**Via First Class Mail**  
**with Certificate of Mailing**

SEP 11 2003



First Named Inventor: Michael R. Oldenburg  
Client Name: Transcom, Inc.  
Application No.: 09/855,001  
Filing Date: May 14, 2001  
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Attorney/Paralegal Initials: DVP/SWJ/kap  
Mailed: 8 September 2003  
Docket No.: 14036

*Ally Jett*

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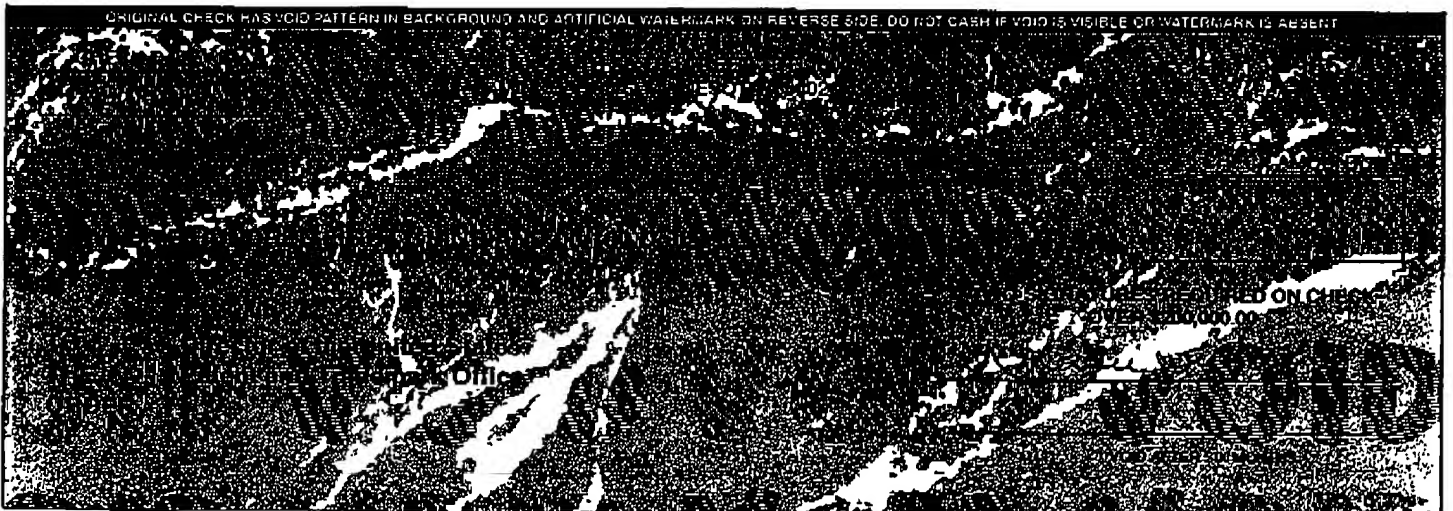
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DORSEY &amp; WHITNEY LLP 50 SOUTH SIXTH STREET, STE 1500 MINNEAPOLIS, MINNESOTA 55402-1488

CHECK NO.: 965789

INVOICE	DATE	INVOICE DESCRIPTION	AMOUNT PAID
K. Popovits	09-08-03	23 Dkt. No. 14036	589.00



965789 092904554 15008037893 1

Docket: 14036

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor:	Michael R. Oldenburg	Examiner: V. Patel Group Art Unit: 3676
Application No.:	09/855,001	
Filing Date:	May 14, 2001	
Title:	<b>RETROFITTING SEVERE DUTY SEAL FOR A SHAFT</b>	

**TRANSMITTAL LETTER**

**Mail Stop RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 8 day of September, 2003.

  
KrisAnne Popovits

Dear Sir:

The following documents are enclosed in connection with the above-referenced patent application:

1. Request for Continued Examination (RCE) Under 37 CFR 1.114 (2 pages);
2. Amendment (15 pages);
3. Supplemental Information Disclosure Statement Under 37 CFR 1.97(b)(4) (2 pages);
4. Form PTO/SB/08A (14 pages, submitted in duplicate);
5. Copies of 199 References Cited;
6. Petition For Extension Of Period For Response (1 page);
7. Fee Determination (After Amendment of Claims) (1 page);
8. Check No. 965789 in the amount of \$589.00 (\$205 to cover two-month extension of time fee; \$375 to cover of RCE fee; and \$9 to cover extra claim fee); and
9. Return Receipt Postcard.

Application No.: 09/855,001

Docket No.: 14036

Respectfully submitted,

**DORSEY & WHITNEY LLP**  
**Customer Number 25763**

Date: 9-8-03

By: 

S. Wade Johnson  
Reg. No. 50,873  
Intellectual Property Department  
Suite 1500  
50 South Sixth Street  
Minneapolis, MN 55402-1498  
(612) 340-8835

# **FEE DETERMINATION (After Amendment of Claims)**

## **Complete if Known**

Application No.	09/855,001
Filing Date	May 14, 2001
First Named Inventor	Michael R. Oldenburg
Group Art Unit	3676
Examiner Name	V. Patel
Atty. Docket Number	14036

Claiming Small Entity Status	N/A
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METHOD OF PAYMENT (Check One)		AMENDMENT FEE CALCULATION (Continued)																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>04-1420</u> Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u></p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)</p>		<p><b>3. ADDITIONAL FEES</b></p> <table border="1"> <tr> <th>Fee Code</th> <th>Amount</th> <th>Description</th> <th>Amount</th> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>410</td> <td>205</td> <td>Extension for reply within second month</td> <td>\$205.00</td> </tr> <tr> <td>930</td> <td>465</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1,450</td> <td>725</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1,970</td> <td>985</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1,300</td> <td>650</td> <td>Issue Fee-Utility/Reissue</td> <td></td> </tr> <tr> <td>320</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>320</td> <td>160</td> <td>Filing brief in support of appeal</td> <td></td> </tr> <tr> <td>280</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1,300</td> <td>650</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of IDS</td> <td></td> </tr> <tr> <td>750</td> <td>375</td> <td>Request for Continued Examination (RCE)</td> <td>\$375.00</td> </tr> </table>		Fee Code	Amount	Description	Amount	110	55	Extension for reply within first month		410	205	Extension for reply within second month	\$205.00	930	465	Extension for reply within third month		1,450	725	Extension for reply within fourth month		1,970	985	Extension for reply within fifth month		1,300	650	Issue Fee-Utility/Reissue		320	160	Notice of Appeal		320	160	Filing brief in support of appeal		280	140	Request for oral hearing		110	55	Terminal Disclaimer Fee		110	55	Petition to revive - unavoidable		1,300	650	Petition to revive - unintentional		130	130	Petitions to the Commissioner		180	180	Submission of IDS		750	375	Request for Continued Examination (RCE)	\$375.00
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<p><b>AMENDMENT FEE CALCULATION</b></p> <p><b>1. EXTRA* CLAIM FEES</b></p> <table border="1"> <tr> <th>Fee Code</th> <th>Amount</th> <th>Description</th> <th>Amount</th> </tr> <tr> <td>Total</td> <td>64</td> <td>- 43 = 1</td> <td>x \$9.00 = \$9.00</td> </tr> <tr> <td>Indep.</td> <td>7</td> <td>- 4 = 0</td> <td>x \$42.00 = 0</td> </tr> <tr> <td colspan="4">First Presentation of Multiple Dependent Claim x =</td> </tr> <tr> <td colspan="3">Subtotal (1)</td> <td>\$9.00</td> </tr> </table> <p><b>*Calculation of Extra Claim Fees</b></p> <table border="1"> <tr> <th>Fee Code</th> <th>Amount</th> <th>Description</th> <th>Amount</th> </tr> <tr> <td>18</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>84</td> <td>42</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>280</td> <td>140</td> <td>Multiple dependent Claim</td> <td></td> </tr> <tr> <td>84</td> <td>42</td> <td>Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>18</td> <td>9</td> <td>Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> </table>		Fee Code	Amount	Description	Amount	Total	64	- 43 = 1	x \$9.00 = \$9.00	Indep.	7	- 4 = 0	x \$42.00 = 0	First Presentation of Multiple Dependent Claim x =				Subtotal (1)			\$9.00	Fee Code	Amount	Description	Amount	18	9	Claims in excess of 20		84	42	Independent claims in excess of 3		280	140	Multiple dependent Claim		84	42	Reissue independent claims over original patent		18	9	Reissue claims in excess of 20 and over original patent		<p><b>Subtotal (2)</b></p> <p><b>\$580.00</b></p> <p><b>Total amount of payment</b></p> <p><b>\$589.00</b></p>																					
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Submitted by:

CUSTOMER NUMBER

25763

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Date:

9-8-03